



SHARE

insurance | investment | mortgages

Primary Disclosure Statement (Authorised Financial Adviser)

Name of Authorised Financial Adviser: Graeme Kenneth Pickering

FSP Registration number: FSP102947

Address: 12 Princess Street
Riccarton, Christchurch

8440

Trading Name Quadrant Financial Services (2012) Limited trading as
SHARE

Telephone number: 03 348 0745

Fax number: 03 348 0673

Email address: graeme.pickering@sharenz.com

This disclosure statement was prepared on 17 January 2012.

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose. In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of services that I provide, the fees that I charge, and any actual or potential conflicts of interest. If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

What sort of adviser am I?

I am an Authorised Financial Adviser. This means I have been authorised by the Financial Markets Authority (the Government agency that monitors financial advisers) to provide the financial adviser services as described below.

How can I help you?

I have been authorised to provide you with financial adviser services of the following categories:

Financial Advice; and
Investment Planning Services

When I do this, I will be able to give advice and/or provide a service about:

- Financial products provided by only 1 organisation
- Financial products provided by a small number of organisations (2 to 5 organisations)
- Financial products provided by a broad range of organisations (more than 5 organisations)



SHARE

insurance | investment | mortgages

How do I get paid for the services that I provide to you?

- | | |
|--|--|
| <input type="checkbox"/> Fees only | My services are only paid for by the fees that you pay. I do not receive payments from other people or organisations that might influence my advice. |
| <input type="checkbox"/> Fees | My services are paid for by the fees that you pay as well as in other ways. |
| <input type="checkbox"/> Commissions | There are situations in which I will be paid by other organisations. How much that payment will be depends on the decisions that you make. |
| <input type="checkbox"/> Extra payments from my employer | I may receive extra payments from my employer depending upon the decisions that you make. |
| <input type="checkbox"/> Non-financial benefits from other organisations | Other organisations may give me non-financial benefits depending on the decisions that you make. |

I am required to tell you the specific fees, commission, extra payments, and other benefits that I have received or will, or may, receive in relation to the services that I provide to you. I must tell you these things before I give you advice and/or provide a service or, if that is not practicable, as soon as practicable after I give you that advice and/or provide that service.

What are my obligations?

As an Authorised Financial Adviser, I must comply with the Code of Professional Conduct for Authorised Financial Advisers. I also have other obligations under the Financial Advisers Act 2008 (including regulations made under that Act) and under the general law.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that my internal complaints scheme can try to fix the problem.

You may contact the internal complaints scheme by contacting me either by telephone, email, in writing or in person and lodging a complaint. All complaints are entered into the SHARE complaints register and I follow the SHARE internal complaints procedure.

If we cannot agree on how to fix the issue you can contact Financial Dispute Resolution. This service will cost you nothing and will help us resolve any disagreements. You can contact Financial Dispute Resolution at:

Address: Level 9, 109 Featherston Street, Wellington 6011
Telephone number: 0508 337 337
Email address: enquiries@fdr.org.nz

If you need to know more, where can you get more information?

If you have a question about anything in this disclosure statement or you would like to know anything more about me, please ask me.

If you have a question about financial advisers generally, you can contact the Financial Markets Authority.



SHARE

insurance | investment | mortgages

How am I regulated by the Government?

You can check that I am a registered financial services provider and an Authorised Financial Adviser at <http://www.fspr.govt.nz>.

The Financial Markets Authority authorises and regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings. You can report information or complain about my conduct to the Financial Markets Authority, but in the event of any disagreement, you may choose to first use the dispute resolution procedures describe above (under **What should I do if something goes wrong?**).

Declaration

I Graeme Kenneth Pickering declare that to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

.....
Graeme Kenneth Pickering
Authorised Financial Adviser

Appendices: A. Client acknowledgement

